

FORM PTO-1449

Sheet 1 of 2

FORM PTO-1449 (Rev. 2-32) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Information Disclosure Statement by Applicant (Use several sheets if necessary)	ATTY. DOCKET NO. WIL-106US	SERIAL NO 09/915,685
	APPLICANT William T. Wilkinson	
	FILING DATE July 26, 2001	GROUP 2164

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U.S. PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Name	Class	Sub Class	Filing Date
H7D	5,991,751	11/23/99	Rivette et al.	707	1	
	5,999,907	12/07/99	Donner	705	1	
	6,018,714	01/25/00	Risen et al.	705	4	
	6,154,725	11/28/00	Donner	705	1	
	6,263,314	07/17/01	Donner	705	1	

FOREIGN PATENT DOCUMENTS

Exmr Initial	Document Number	Date	Country	Class	Sub Class	Translation YES NO

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

H7D	1)	Book review for <i>Valuing Intangible Assets</i> , by Robert F. Reilly et al. (McGraw-Hill, 1998) as posted on amazon.com
	2)	"Valuing Technology in New Applications," by Mike Hruby et al.; <i>les Nouvelles-Journal of the Licensing Executives Society</i> as posted on technology-marketing.com/Publications/les.html
	3)	"Intellectual property: it's not just a fashion, it's the future" by Sharyn Ch'ang, (1998) 36 (5) LSJ 56
	4)	"Intellectual Property Valuation"; micronomics.com
	5)	"Intellectual Property Due Diligence for the Buyer or Seller of a Business", reinhartlaw.com/Articles
	6)	"Intellectual Property Due Diligence in Corporate Transactions: Risk Assessment, Investment, and Management"; West Group News Release (July 22, 1998);
	7)	"Checklist for Due Diligence in Intellectual Property Transactions"; Mary Ann Tucker; michbar.org
	8)	"Intellectual Property Assets as Sources of Potential Corporate Profits"; Daniel R. Bereskin.; (Dec. 1993)
	9)	"The Right Hoops, Carrying Out Effective IP Due Diligence," Richard Raysman, <i>The Intellectual Property Strategist</i> , (Dec. 1996)
	10)	<i>Assessing a Company's Most Valuable Assets: Conducting an Intellectual Property Audit</i> ; Baila H. Celedonia; cll.com/articles
	11)	Book summary of <i>Intellectual Property Rights and Their Evaluation</i> ; Peter J. Groves (1997) as posted on woodhead-publishing.com/finance/banking/new/intellect.html
	12)	U.S. Patent Application titled "METHOD FOR AUDITING INTELLECTUAL PROPERTY," filed October 11, 2001, by William Wilkinson, claiming priority on U.S. Provisional Application Serial No. 60/240,135

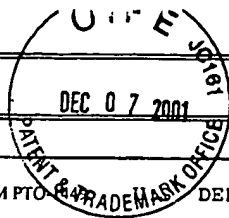
Examiner

Harish Dass

Date Considered

2/14/06

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Sheet 2 of 2

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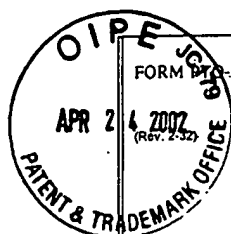
OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

H1D		13)	U.S. Patent Application titled "INTELLECTUAL PROPERTY FINANCIAL MARKETS METHOD AND SYSTEM," filed March 2, 2001, by William T. Wilkinson, claiming priority on U.S. Provisional Application Serial No. 60/186,547
↓		14)	U.S. Patent Application titled "METHOD OF PROVIDING INSURANCE FOR INTELLECTUAL PROPERTY," filed October 18, 2001, by William T. Wilkinson, claiming priority on U.S. Provisional Application Serial No. 60/242,307
↘		15)	U.S. Patent Application titled "INTELLECTUAL PROPERTY VALUATION PROCESS," filed June 14, 2000, by William T. Wilkinson, claiming priority on U.S. Provisional Application Serial No. 60/139,247

Examiner Harish Dass	Date Considered 2/14/06
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U.S. DEPARTMENT OF
COMMERCE
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OFFICE

Information Disclosure Statement by Applicant

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ATTY. DOCKET NO.

WIL-106US

SERIAL NO

09/915,685

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APPLICANT

William T. Wilkinson and David E. Martin APR 26 2002

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FILING DATE

July 26, 2001

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FOREIGN PATENT DOCUMENTS

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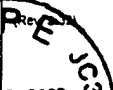
OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

			U.S. Patent Application Serial No. 10/007,205, titled "INTELLECTUAL PROPERTY COMMERCIALIZATION METHOD," filed November 9, 2001

Examiner	Harish Dass	Date Considered	2/14/06
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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE 	Information Disclosure Statement by Applicant (Use several sheets if necessary)	ATTY. DOCKET NO. WIL-106US	SERIAL NO 09/915,685	RECEIVED JAN 14 2002 Technology Center
	COPY OF PAPERS	APPLICANT William T. Wilkinson and David E. Martin	FILING DATE July 26, 2001	

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			International Search Report dated November 1, 2001, to Application No. PCT/US01/23547 (corresponding PCT application)

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H T D		Patent Application Publication US 2002/0046038 A1 (PROKOSKI); System and Method for
		Establishing Financing of Intellectual Property, publication date April 18, 2002

Examiner	Harish Dars	Date Considered	2/14/06
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Information Disclosure Statement by Applicant (Use several sheets if necessary)		APPLICANT William T. Wilkinson	
		FILING DATE July 26, 2001	GROUP 2161

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Exmr Initial	Document Number	Date	Name	Class	Sub Class	Orig Date

GROUP 3600

Exmr Initial		Document Number	Date	Country	Class	Sub Class	Translation YES NO

(Including Author, Title, Date, Pertinent Pages, Etc.)

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Examiner	Hanish Dass	Date Considered	2/14/06
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